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Certain Swap Data Repository and Data Reporting Requirements

A Proposed Rule by the [Commodity Futures Trading Commission](#) on 10/29/2019

 This document has a comment period that ends in 10 days. (01/27/2020)

DOCUMENT DETAILS**Printed version:**

PDF (<https://www.govinfo.gov/content/pkg/FR-2019-10-29/pdf/2019-23595.pdf>)

Publication Date:

10/29/2019 ([documents/2019/10/29](#))

Agency:

Commodity Futures Trading Commission (<https://www.federalregister.gov/agencies/commodity-futures-trading-commission>)

Dates:

The comment period for the NPRM titled Certain Swap Data Repository and Data Reporting Requirements, published on May 13, 2019 (84 FR 21044 ([citation/84-FR-21044](#))), is extended. Comments are due January 27, 2020.

Effective Date:

05/13/2019

Comments Close:

01/27/2020

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AGENCY:

Commodity Futures Trading Commission.

ACTION:

Extension of comment period.

SUMMARY:

On May 13, 2019, the Commodity Futures Trading Commission (Commission) published in the **Federal Register** a notice of proposed rulemaking (NPRM) titled *Certain Swap Data Repository and Data Reporting Requirements*. The comment period for the NPRM was originally scheduled to close on July 29, 2019. The Commission subsequently extended the comment period for 90 days to October 28, 2019. The Commission is further extending the comment period for this NPRM by an additional 90 days to January 27, 2020.

DATES:

The comment period for the NPRM titled *Certain Swap Data Repository and Data Reporting Requirements*, published on May 13, 2019 (84 FR 21044 (/citation/84-FR-21044)), is extended. Comments are due January 27, 2020.

ADDRESSES:

You may submit comments, identified by "Certain Swap Data Repository and Data Reporting Requirements" and RIN number 3038-AE32, by any of the following methods:

- *CFTC Comments Portal*: <https://comments.cftc.gov> (<https://comments.cftc.gov>). Select the "Submit Comments" link for this rulemaking and follow the instructions on the Public Comment Form.
- *Mail*: Send to Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581.
- *Hand Delivery/Courier*: Follow the same instructions as for mail, above.

Please submit your comments using only one of these methods. To avoid possible delays with mail or in-person

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deliveries, submissions through the CFTC Comments Portal are encouraged.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <https://comments.cftc.gov> (<https://comments.cftc.gov>). You should submit only information that you wish to make available publicly. If you wish the Commission to consider

information that you believe is exempt from disclosure under the Freedom of Information Act (FOIA), a petition for confidential treatment of the exempt information may be submitted according to the procedures established in 17 CFR 145.9 (/select-citation/2019/10/29/17-CFR-145.9) of the Commission's regulations.

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <https://comments.cftc.gov> (<https://comments.cftc.gov>) that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the rulemaking will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the FOIA.

FOR FURTHER INFORMATION CONTACT:

Benjamin DeMaria, Special Counsel, 202-418-5988, bdemaria@cftc.gov (<mailto:bdemaria@cftc.gov>) or Meghan Tente, Acting Associate Director, 202-418-5785, mtente@cftc.gov (<mailto:mtente@cftc.gov>); Division of Market Oversight, Data and Reporting Branch, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581.

SUPPLEMENTARY INFORMATION:

On May 13, 2019, the Commission published in the **Federal Register** an NPRM proposing amendments to certain regulations applicable to swap data repositories (SDRs), reporting counterparties, and other market participants.^[1] The proposed amendments would, among other things, update requirements for SDRs to verify swap data with reporting counterparties, update requirements to correct swap data errors and omissions, and update and clarify certain SDR operational and governance requirements.

The comment period for the NPRM was scheduled to close on July 29, 2019. The Commission subsequently extended the comment period for the NPRM by an additional 90 days to October 28, 2019.^[2] At the request of market participants,^[3] the Commission is again extending the comment period for this NPRM for an additional 90 days to January 27, 2020. This extension of the comment period will allow interested persons additional time to analyze the proposal and prepare their comments.

As stated in the NPRM,^[4] the Commission anticipates reopening or extending the comment period for this NPRM to coincide with the comment periods for the additional planned rulemakings under the Commission's Roadmap to Achieve High Quality Swaps Data ("Roadmap"),^[5] in order to provide market participants with the opportunity to comment collectively on all rulemakings proposed under the Roadmap. The Commission does not anticipate further extending the comment period for this NPRM in the absence of the additional Roadmap rulemakings. In the instance where the additional Roadmap rulemakings are not proposed before the expiration of the extended comment period for this NPRM, the Commission anticipates reopening this comment period when the remaining Roadmap rulemakings are proposed.

Issued in Washington, DC, on October 24, 2019, by the Commission.

Robert Sidman,

Deputy Secretary of the Commission.

Note:

The following appendix will not appear in the Code of Federal Regulations.

Appendix to Certain Swap Data Repository and Data Reporting Requirements—Commission Voting Summary

On this matter, Chairman Tarbert and Commissioners Quintenz, Behnam, Stump, and Berkovitz voted in the affirmative. No Commissioner voted in the negative.

Footnotes

1. *Certain Swap Data Repository and Data Reporting Requirements, 84 FR 21044* (/citation/84-FR-21044) (May 13, 2019).

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2. *Certain Swap Data Repository and Data Reporting Requirements; Extension of Comment Period, 84 FR 35847* (/citation/84-FR-35847) (July 25, 2019).

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3. *See Letter from International Swaps and Derivatives Association and Securities Industry and Financial Markets Association (October 8, 2019), available at <https://comments.cftc.gov/PublicComments/ViewComment.aspx?id=62212&SearchText=>* (<https://comments.cftc.gov/PublicComments/ViewComment.aspx?id=62212&SearchText=>).

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4. *See 84 FR at 21045-21046.*

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5. *See CFTC Letter 17-33, Division of Market Oversight Announces Review of Swap Reporting Rules in Parts 43, 45, and 49 of Commission Regulations (July 10, 2017), available at <http://www.cftc.gov/idc/groups/public/@lrlettergeneral/documents/letter/17-33.pdf>*

(<http://www.cftc.gov/idc/groups/public/@lrlettergeneral/documents/letter/17-33.pdf>); *Roadmap to Achieve High Quality Swap Data, available at http://www.cftc.gov/idc/groups/public/@newsroom/documents/file/dmo_swapdataplan071017.pdf*

(http://www.cftc.gov/idc/groups/public/@newsroom/documents/file/dmo_swapdataplan071017.pdf).

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