Nasdaq LEI Data Quality Report | September 2021

Summary

Key Metrics

- **100.00**
  - Average Total Data Quality Score (TDQS) compared to the previous month

- **100%**
  - Days, LEI Issuer Achieving Maturity Level 2 compared to the previous month

- **20 days**
  - Average Days to Close a Challenge compared to the previous month

Detail Metrics

- **Maturity Level Trend**

- **Total Data Quality Score Trend**

Details

Maturity Level Performance

- Maturity Level 0: Days with Insufficient Quality
  - Jul: 7/31 (23%)
  - Aug: 0/31 (0%)
  - Sep: 0/30 (0%)

- Maturity Level 1: Days with Required Quality
  - Jul: 24/31 (77%)
  - Aug: 31/31 (100%)
  - Sep: 30/31 (100%)

- Maturity Level 2: Days with Expected Quality
  - Jul: 24/31 (77%)
  - Aug: 31/31 (100%)
  - Sep: 31/31 (100%)

- Maturity Level 3: Days with Excellent Quality
  - Jul: 24/31 (77%)
  - Aug: 31/31 (100%)
  - Sep: 30/30 (100%)

Data Quality World Map

Data Quality Criteria

<table>
<thead>
<tr>
<th>Quality Criterion (No. of Checks)</th>
<th>Data Quality Score (DQS) Trend Jul - Sep</th>
<th>Avg. DQS</th>
<th>Avg. Number of Check Failures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accessibility (5)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Accuracy (8)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Completeness (6)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Comprehensiveness (6)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Consistency (17)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Currency (1)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Integrity (21)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Provenance (3)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Representation (6)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Uniqueness (5)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
<tr>
<td>Validity (14)</td>
<td>100.00</td>
<td>0 (0.00%)</td>
<td></td>
</tr>
</tbody>
</table>

Top 5 Failing Checks

<table>
<thead>
<tr>
<th>Check ID</th>
<th>Avg. Number of Check Failures</th>
<th>Maturity Level</th>
<th>Quality Criterion</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Statistics

- Totals
  - Total LEI Records: 591 (+21.11%)
  - Active Entities Managed: 586 (+21.07%)
  - New Issued LEIs: 87 (+135.14%)
  - Renewed LEIs: 15 (+114.29%)
  - Lapsed LEIs: 1 (+/-0.00%)
  - Countries: 7 (+/-0.00%)

- Relationship Information
  - LEIs with Relationships: 26 (+30.00%)
  - LEIs with Complete Parent Information: 577 (+21.73%)

- Marked Duplicates
  - Total LEIs: 2 (+100.00%)
  - Total LEIs in Percentage: <1% (+65.15%)
  - New Marked LEIs: 1 (+/-0.00%)

- Challenges
  - Total Challenges: 0 (+/-0.00%)
  - Closed Challenges: 1 (+/-0.00%)
  - Closed Challenges with Update: 1 (+/-0.00%)

- Days to Close a Challenge
  - Avg.: 20 (-)

- Files
  - No. of Days per Month with CDF-Compliant File Uploads: 30 (+/-0.00%)

Disclaimer: All figures of this LEI Data Quality Report are derived from these sources: 1) concatenated source files provided by this LEI Issuer; 2) daily Data Quality Reports assigned to this LEI Issuer; and 3) challenges managed via GLEIF’s Challenge Facility by this LEI Issuer. The sources mentioned in 1) to 3) relate to the reporting period in scope. The Data Quality Rule Setting used for the generation of this report includes all Data Quality Checks corresponding version(s) that have been effective throughout the reporting period. While every care has been taken in the compilation of this information, GLEIF will not be held responsible for any loss, damage, or inconvenience caused by inaccuracy or error within the LEI Data Quality Report. The text and graphic content of the LEI Data Quality Report may be used, printed, and distributed ONLY with the copyright information displayed (© Copyright Global Legal Entity Identifier Foundation (GLEIF)).