Nasdaq LEI Data Quality Report | October 2021

Summary

Key Metrics

- **Average Total Data Quality Score (TDQS)**: 99.99, ▼-0.01 compared to the previous month
- **Days, LEI Issuer Achieving Maturity Level 2**: 87%, ▼-13% compared to the previous month
- **Average Days to Close a Challenge**: N/A compared to the previous month

Details

Maturity Level Performance

<table>
<thead>
<tr>
<th>Maturity Level</th>
<th>Aug</th>
<th>Sep</th>
<th>Oct</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level 0</td>
<td>31/31 (100%)</td>
<td>30/30 (100%)</td>
<td>N/A</td>
</tr>
<tr>
<td>Level 1</td>
<td>31/31 (100%)</td>
<td>30/30 (100%)</td>
<td>N/A</td>
</tr>
<tr>
<td>Level 2</td>
<td>31/31 (100%)</td>
<td>30/30 (100%)</td>
<td>N/A</td>
</tr>
<tr>
<td>Level 3</td>
<td>31/31 (100%)</td>
<td>30/30 (100%)</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Days with Insufficient Quality: Aug - 0/31 (0%), Sep - 0/30 (0%), Oct - N/A
Days with Required Quality: Aug - 31/31 (100%), Sep - 30/30 (100%), Oct - N/A
Days with Expected Quality: Aug - 31/31 (100%), Sep - 30/30 (100%), Oct - N/A
Days with Excellent Quality: Aug - 31/31 (100%), Sep - 30/30 (100%), Oct - N/A

Data Quality World Map

Data Quality Criteria

- **Accessibility (5)**: 100.00, 0 (0.00%) (No. of Checks)
- **Accuracy (8)**: 100.00, 0 (0.00%) (No. of Checks)
- **Completeness (6)**: 100.00, 0 (0.00%) (No. of Checks)
- **Comprehensiveness (6)**: 100.00, 0 (0.00%) (No. of Checks)
- **Consistency (17)**: 100.00, 0 (0.00%) (No. of Checks)
- **Currency (1)**: 100.00, 0 (0.00%) (No. of Checks)
- **Integrity (21)**: 100.00, 0 (0.00%) (No. of Checks)
- **Provenance (3)**: 100.00, 0 (0.00%) (No. of Checks)
- **Representation (6)**: 100.00, 0 (0.00%) (No. of Checks)
- **Uniqueness (5)**: 99.89, <1 (<0.01%) (No. of Checks)
- **Validity (14)**: 99.99, <1 (<0.01%) (No. of Checks)

Top 5 Failing Checks

<table>
<thead>
<tr>
<th>Check ID</th>
<th>Avg. Number of Check Failures</th>
<th>Maturity Level</th>
<th>Quality Criterion</th>
</tr>
</thead>
<tbody>
<tr>
<td>C000146</td>
<td>&lt;1 (-)</td>
<td>Required</td>
<td>Validity</td>
</tr>
<tr>
<td>C000180</td>
<td>&lt;1 (-)</td>
<td>Expected</td>
<td>Uniqueness</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>N/A</td>
<td>- (-)</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Statistics

- **Total LEI Records**: 677 (+14.55%)
- **Active Entities Managed**: 672 (+14.68%)
- **New Issued LEIs**: 82 (-5.75%)
- **Renewed LEIs**: 8 (-46.67%)
- **Lapsed LEIs**: 4 (+300.00%)
- **Countries**: 7 (+/-0.00%)
- **LEIs with Relationships**: 29 (+11.54%)
- **LEIs with Complete Parent Information**: 664 (+15.08%)
- **Marked Duplicates**: 2 (+/-0.00%)
- **Total LEIs in Percentage**: <1% (-12.71%)
- **New Marked LEIs**: 0 (-100.00%)
- **Challenges**: <1% (-12.71%)
- **New Challenges**: 0 (+/-0.00%)
- **Closed Challenges**: 0 (-100.00%)
- **Closed Challenges with Update**: 0 (-100.00%)
- **Avg. Days to Close a Challenge**: - (-)
- **Files**: No. of Days per Month with CDF-Compliant File Uploads: 31 (+/-0.00%)