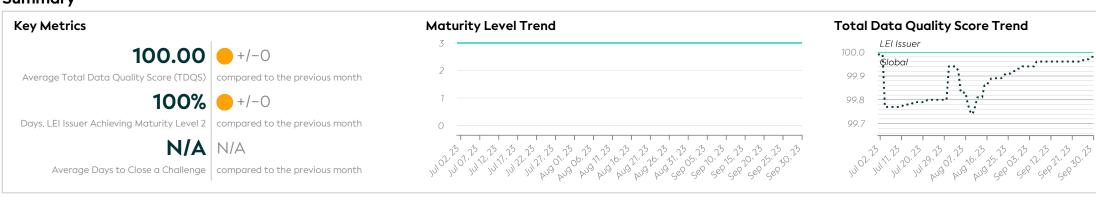
Nasdaq LEI Data Quality Report | September 2023

GLEIF Enabling global identity Protecting digital trust

Summary



Details

Maturity Level Performance Maturity Level 0 Maturity Level 1 Maturity Level 2 Maturity Level 3 0/30 31/31 31/31 30/30 31/31 31/31 (0%) (0%) (100%) (100%) (100%) (100%) (100%) (100%) (100%) (100%) (100%) Days with Excellent Days with Insufficient Days with Required Days with Expected Quality Quality Quality Quality

Top 5 Failing Checks

Check ID	Avg. Number of Check Failures	Maturity Level	Quality Criterion
N/A	- (-)	-	-
N/A	- (-)	-	-
N/A	- (-)	-	-
N/A	- (-)	-	-
N/A	- (-)	_	-

Data Quality World Map



Data Quality Criteria

Quality Criterion (No. of Checks)	Data Quality Score (DQS) Trend (Jul - Sep)	Avg. DQS	Avg. Number of Check Failures
Accessibility (11)		100.00	0 (0.00%)
Accuracy (12)		100.00	0 (0.00%)
Completeness (9)		100.00	0 (0.00%)
Comprehensiveness (´	10)	100.00	0 (0.00%)
Consistency (23)		100.00	0 (0.00%)
Currency (1)		100.00	0 (0.00%)
Integrity (23)		100.00	0 (0.00%)
Provenance (10)		100.00	0 (0.00%)
Representation (7)		100.00	0 (0.00%)
Timeliness (2)		100.00	0 (0.00%)
Uniqueness (7)		100.00	0 (0.00%)
Validity (27)		100.00	0 (0.00%)

Statistics

Totals Total LEI Records Active Entities Managed New Issued LEIs Renewed LEIs Lapsed LEIs Countries LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges New Challenges	1,308 (+1.87%) 27 (+107.69%) 43 (-23.21%) 422 (+6.03%) 8 (+/-0.00%) Values 91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
Active Entities Managed New Issued LEIs Renewed LEIs Lapsed LEIs Countries LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	1,318 (+1.93%) 0 (+/-0.00%)
New Issued LEIs Renewed LEIs Lapsed LEIs Countries LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	27 (+107.69%) 43 (-23.21%) 422 (+6.03%) 8 (+/-0.00%) Values 91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
Renewed LEIs Lapsed LEIs Countries LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	43 (-23.21%) 422 (+6.03%) 8 (+/-0.00%) Values 91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
Lapsed LEIs Countries LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	422 (+6.03%) 8 (+/-0.00%) Values 91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
Countries LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	8 (+/-0.00%) Values 91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
LEIs with Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	Values 91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
Parent Relationships Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%)
Complete Parent Information Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	91 (+4.60%) 1,318 (+1.93%) 0 (+/-0.00%) Values
Fund Relationships Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	0 (+/-0.00%)
Marked Duplicates Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	
Total LEIs Total LEIs in Percentage New Marked LEIs Challenges	Values
Total LEIs in Percentage New Marked LEIs Challenges	
New Marked LEIs Challenges	3 (+/-0.00%)
Challenges	<1% (-1.81%)
	0 (+/-0.00%)
New Challenges	Values
	0 (+/-0.00%)
Closed Challenges	0 (+/-0.00%)
Closed Challenges with Update	0 (+/-0.00%)
Avg. Days to Close a Challenge	- (-)
Files	Values
No. of Days per Month with CDF-Compliant File Uploads	

DISCLAIMER: All figures of this LEI Data Quality Report are derived from these sources: 1) concatenated source files provided by this LEI Issuer 2) daily Data Quality Reports assigned to this LEI Issuer and 3) challenges managed via GLEIF's Challenge Facility by this LEI Issuer. The sources mentioned in 1) to 3) relate to the reporting period in scope. The Data Quality Rule Setting used for the generation of this report includes all Data Quality Checks corresponding version(s) that have been effective throughout the reporting period. While every care has been taken in the compilation of this information, GLEIF will not be held responsible for any loss, damage, or inconvenience caused by inaccuracy or error within the LEI Data Quality Report. The text and graphic content of the LEI Data Quality Report may be used, printed, and distributed ONLY with the copyright information displayed (© Copyright Global Legal Entity Identifier Foundation (GLEIF)).