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<th>Requirement satisfied by KERI Operations</th>
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<tr>
<td>Principles</td>
<td>1.</td>
<td>The vLEI Ecosystem Governance Framework MUST enable GLEIF’s role to support and contribute to unique global persistent organizational identity as a public good.</td>
<td>X; GLEIF is acting as the Root of Trust under a sustainable business model</td>
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<td>2.</td>
<td>The vLEI Ecosystem Governance Framework MUST deliver on GLEIF’s vision that every legal entity be able to be identified uniquely, having only one global identity and this identity should include a digital identity.</td>
<td>X; existence of vLEIs for Legal Entities</td>
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<td>3.</td>
<td>The vLEI Ecosystem Governance Framework MUST leverage the principle of free and open access and use of the data in the Global LEI System regarding legal entities and their entity-level and relationships.</td>
<td>X; no fees to data users accessing vLEI information on GLEIS</td>
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<td>4.</td>
<td>The vLEI Ecosystem Governance Framework MUST support GLEIF’s intention to deliver the vLEI infrastructure using a technology agnostic approach and to use open source wherever possible.</td>
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<td>5.</td>
<td>The vLEI Ecosystem Governance Framework MUST support GLEIF’s use of open standards.</td>
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<td>6.</td>
<td>The vLEI Ecosystem Governance Framework MUST enable the vLEI infrastructure to be extended, adapted, and used in any manner, in any context, and by any method that is necessary to achieve the GLEIF's vision.</td>
<td>X; applicability of vLEI to digital organizational identity across use cases and domains</td>
<td>X; availability of Qualified vLEI Issuers on a global basis</td>
<td>X; KERI interoperability and portability</td>
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<td>7.</td>
<td>The vLEI Ecosystem Governance Framework MUST enable interoperability, for the digital identity data of an entity to be represented, exchanged, secured, protected, and verified interoperably using open, public, and royalty-free standards, as well as portability, the ability of identity rights holders to move or transfer a copy of their digital identity data to the agents or systems of their choice.</td>
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<td>8.</td>
<td>The vLEI Ecosystem Governance Framework MUST ensure verifiability and authenticity by empowering vLEI Credential holders to provide verifiable proof of the authenticity of their digital identity data.</td>
<td>X; vLEI Credential Identity Verification Requirements</td>
<td>X; vLEI Credential Identity Verification Requirements</td>
<td>X; Credential verification process</td>
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<td>9.</td>
<td>The vLEI Ecosystem Governance Framework MUST ensure verifiability and authenticity by empowering vLEI Credential holders to provide verifiable proof of the authenticity of their digital identity data.</td>
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<td>10.</td>
<td>All Issuers of vLEIs MUST verify that a Holder’s Autonomic Identifier (AID) is controlled by the Holder.</td>
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<tr>
<td>General Requirements</td>
<td>1.</td>
<td>All LEIs contained in vLEIs MUST maintain a LEI Entity Status of Active and a LEI Registration Status of Issued, Pending Transfer or Pending Archival in the Global LEI System.</td>
<td>X; requirement in Credential Frameworks</td>
<td>X; check using GLEIF API</td>
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<td>2.</td>
<td>All Issuers of vLEIs MUST verify that a Holder’s Autonomic Identifier (AID) is controlled by the Holder.</td>
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<td>X; check using GLEIF API</td>
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<td>3.</td>
<td>All QVIs MUST have executed a vLEI Issuer Qualification Agreement.</td>
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<td>4.</td>
<td>All QVIs MUST successfully complete Annual vLEI Issuer Qualification.</td>
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</table>
5. GLEIF MUST publish the vLEI Ecosystem Governance Framework on gleif.org and follow the policies in the Revisions section for all revisions of the vLEI Ecosystem Governance Framework.

6. vLEIs MUST be revocable following the policies specified in vLEI Ecosystem Governance Framework.

7. QVIs MUST ensure that third-parties comply with the vLEI Ecosystem Governance Framework when providing vLEI services to a QVI.

Revisions

1. At a minimum, the vLEI Ecosystem Governance Framework MUST be reviewed annually.

2.a. All revisions to the Primary Document MUST be identified with a revision number that is a sequential integer.

3.a. All revisions to Controlled Documents MUST be identified with a revision number that is a sequential integer.

5. All revisions to the vLEI Ecosystem Governance Framework MUST be approved by GLEIF using its Change Management Process.

Business Requirements

3. There MUST be availability targets defined for all vLEI services included in the Appendix 5 of the vLEI Issuer Qualification Agreement [SLA].

6. The QVI MUST be solely responsible for managing the revenue that is produced and costs that are incurred in the running of its vLEI operations.

6. The QVI MUST ensure that its operations regarding vLEIs are sustainably financed.

7. GLEIF MUST not contribute funds of any form whatsoever for QVI operations.

Inclusion, Equitability and Accessibility Requirements

N/A

GLEIF MUST design the vLEI Ecosystem to be able to make vLEIs available to any Legal Entity issued a LEI in the Global LEI System.
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<tr>
<td>3 Regulatory Compliance</td>
<td>2. vLEI Ecosystem stakeholders MUST comply with any governmental regulations for information security to which their activities within the vLEI Ecosystem will be subject. This includes international or trans-national governance authorities (e.g., ISO/IEC 27001 – Information Security Management, EU General Data Protection Regulation (GDPR)).</td>
<td>X; although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers</td>
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<td>4 vLEI Ecosystem Stakeholder Privacy Policies</td>
<td>2. The vLEI Ecosystem Credential Governance Frameworks MUST specify the information to be protected by the applicable privacy policy in the Jurisdiction of the Legal Entity.</td>
<td>X; although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers</td>
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<td>5 vLEI Ecosystem Stakeholder Data Protection Policies</td>
<td>1. vLEI Ecosystem stakeholders MUST confirm that they respect and comply with data protection legislation as applicable and in force.</td>
<td>X; although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers</td>
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<td>2. Where no such legislation is in force, and as a material minimum standard, vLEI Ecosystem stakeholders MUST comply with the provisions of the Swiss Federal Data Protection Act specified in the Appendix to this policy document.</td>
<td>X; although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers</td>
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<td>6 vLEI Ecosystem Stakeholder Security Policies</td>
<td>1. vLEI Ecosystem stakeholders MUST publish, review annually, maintain, and comply with IT security policies and practices sufficient to protect all services that a vLEI Ecosystem stakeholder provides in conformance with this Ecosystem Governance Framework and meets the minimum elements of the following recommendations: <a href="https://resources.infosecinstitute.com/topic/key-elements-information-security-policy/#gref">https://resources.infosecinstitute.com/topic/key-elements-information-security-policy/#gref</a>.</td>
<td>X; although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers</td>
<td>X; audit of GLEIF compliance</td>
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<td>2. These policies MUST be mandatory for all employees of the vLEI Ecosystem stakeholder involved with vLEI Transactions or vLEI Data. The vLEI Ecosystem stakeholder MUST designate its Information Security Manager or another officer to provide executive oversight for such policies, including formal governance and revision management, employee education, and compliance enforcement.</td>
<td>X; although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers</td>
<td>X; audit of GLEIF compliance</td>
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<td>X; adherence to vLEI Information Trust Policies into services and processes for which GLEIF Information Security Officer is responsible</td>
<td>X; adherence to vLEI Information Trust Policies into services and processes for which Qualified vLEI Issuer Information Security Officer is responsible</td>
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</table>

**Notes:**
- X; confirmation during Annual vLEI Issuer Qualification
- X; adherence to vLEI Information Trust Policies into services and processes for which GLEIF Information Security Officer is responsible
- X; audit of GLEIF compliance
- X; Incident reports filed by Qualified vLEI Issuers for all privacy breaches
- X; adherence to vLEI Information Trust Policies into services and processes for which Qualified vLEI Issuer Information Security Officer is responsible
3. vLEI Ecosystem stakeholder employment verification policies and procedures MUST include, but may not be limited to, criminal background check and proof of identity validation. Although GLEIF will not be able to determine compliance by Ecosystem stakeholders other than itself and Qualified vLEI Issuers, inclusion of required employment verification policies and procedures into GLEIF Human Resources hiring process is envisioned.

4. Qualified vLEI Issuers MUST recertify annually that they maintain a law abiding and ethical status in the business community as evidenced in the Annual vLEI Issuer Qualification. Confirmation during Annual vLEI Issuer Qualification is required.

5. If a Qualified vLEI Issuer performs handling of vLEI Data in its own data center, the Qualified vLEI Issuer’s security policies MUST also adequately address physical security and entry control according to industry best practices. Confirmation during Annual vLEI Issuer Qualification is required.

6. If a Qualified vLEI Issuer uses third-party providers in functions that involve the handling of vLEI Data, the Qualified vLEI Issuer MUST ensure that the security, privacy, and data protection policies of the third-party providers meet the requirements in this document. Confirmation during Annual vLEI Issuer Qualification is required.

7. Qualified vLEI Issuers MUST make available evidence of stated compliance with these policies and any relevant accreditations held by the Qualified vLEI Issuer during Annual vLEI Issuer Qualification, including certificates, attestations, or reports resulting from accredited third-party audits, such as ISO 27001, Statement on Standards for Attestation Engagements Service Organization Controls 2 (SSAE SOC 2), or other industry standards. Confirmation during Annual vLEI Issuer Qualification is required.

F Security Incidents Policies
1. Qualified vLEI Issuers MUST maintain and follow documented incident response procedures and guidelines for computer security incident handling and will comply with data breach notification terms of the vLEI Issuer Qualification Agreement. ITIL (Information Technology Infrastructure Library) Incident Management is followed by GLEIF and is certified as part of GLEIF’s ISO 20000 certification. Confirmation during Annual vLEI Issuer Qualification is required.

2. Qualified vLEI Issuers MUST define and execute an appropriate response plan to investigate suspected unauthorized access to vLEI Data. This plan MUST include procedures and forms that GLEIF and the Qualified vLEI Issuers use responsively to communicate security events and their disposition. Appropriate response plan provided by GLEIF during vLEI Issuer Qualification and confirmed during Annual vLEI Issuer Qualification is required. Existence of forms communicating security events and their disposition is also required.

G Availability Policies
1. GLEIF and Qualified vLEI Issuers MUST maintain defined availability targets as part of the vLEI Ecosystem Governance Framework. Defined GLEIF availability targets in SLA and confirmation during Annual vLEI Issuer Qualification are required.

2. GLEIF and Qualified vLEI Issuers MUST maintain records to evidence the availability of their services. Audit of GLEIF compliance and confirmation during Annual vLEI Issuer Qualification are required.

Developer Security Policies
1. GLEIF MUST provide technical changes/upgrades to the vLEI software to Qualified vLEI Issuers. Audit of GLEIF compliance is required.

2. Qualified vLEI Issuers MUST successfully install, test and implement the GLEIF-supplied vLEI software within stated timeframes. Software working by stated timeframes is required.
There are no 'MUST' Statements in the Governance Requirements Controlled Document.

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<td>Section</td>
<td>Sub-section</td>
<td>MUST Statements Business Requirements</td>
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<td>Requirement satisfied by vLEI Issuer Qualification Program</td>
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<td>1</td>
<td>Business Requirements</td>
<td>1. There MUST be availability targets defined for all vLEI services included in Appendix 5 of the vLEI Issuer Qualification Agreement, Qualified vLEI Issuer Service Level Agreement (SLA).</td>
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<td>5. The QVI MUST be solely responsible for managing the revenue that is produced and costs that are incurred in the running of its vLEIs operations.</td>
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<td>6. The QVI MUST ensure that its operations regarding vLEIs are sustainably financed.</td>
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<td>7. GLEIF MUST not contribute funds of any form whatsoever for QVI operations.</td>
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<td>Section</td>
<td>Sub-section</td>
<td>MUST Statements Technical Requirements Part 1: KERI Infrastructure</td>
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<tr>
<td>1. KERI Specifications</td>
<td>1.2 Specification version upgrades</td>
<td>Previous versions explicitly cited by policies in this document MUST be supported for a period 18 months.</td>
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<td>New versions MUST be implemented within a period 12 months after final approval of the new version, unless otherwise superseded by revised policies in a new version of the vLEI Ecosystem Governance Framework.</td>
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<td>After upgrading to a new version, implementers MUST NOT begin using any breaking changes until the end of the time period required to adopt new versions. For example, v2.0 must be compatible with v1.0 until the end of the v2.0 adoption period. So v2.0 must be used in a v1.0 compatible mode.</td>
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<td>2. Endorser (Backer) Management</td>
<td>2.1 Witness Pool</td>
<td>MUST use KERI Agreement Algorithm for Control Establishment (KAACE) sufficient majority threshold on a minimum pool of 5 Witnesses.</td>
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<td>MUST publish Witnesses to at least one ecosystem discovery mechanism:</td>
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<td>Well-Known URI IETF RFC-8615 on a web site/associated entity. The value of the /well-known/oobi resource is a OObI (out-of-band-introduction) to witness or witnesses</td>
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<td>Publish OObIs for witnesses on web site(s) discoverable by search engines.</td>
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<td>KERI Distributed Hash Table (DHT)</td>
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<td>DID method resolvers</td>
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<td>Ledgers</td>
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<td>2.2 Registrar (Ledger)</td>
<td>MUST use a GLEIF Approved DID Method (one for each authorized ledger):</td>
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<td>security guarantees are based on the particular ledger</td>
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<td>A DID method MUST be approved down to the ledger-specific level</td>
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<td>MUST use only one type for any KEL.</td>
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<td>4. Key Management</td>
<td>4.1 Key-pair creation and storage infrastructure</td>
<td>All key-pairs MUST be generated using a cryptographic algorithm with at least 128 bits of cryptographic strength. This includes using a source of entropy of at least 128 bits of cryptographic strength for the salt or seed used to generate the private key of the key pair.</td>
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<td>Autonomic Identifiers (AIDs)</td>
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<td>Both Authentic Chained Data Container (ACDC) Issuer and Issuer AIDs MUST be transferable.</td>
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</table>
4.1.3 Key Pre-Rotation for Transferable AIDs

1. The next or pre-rotated set of keys MUST be protected with the highest level of protection. This level of protection should be commensurate with the value of the assets these keys are protecting.

2. Non-delegated pre-rotated keys are at the root level of a delegation hierarchy and MUST have the very highest level of protection. There is no recovery mechanism within KERI to regain control over a non-delegated AID once its pre-rotated keys have been captured. The only recourse is to abandon the AID and stand up a new AID and reestablish the reputation and associations of the new AID. This re-establishment process is ecosystem dependent and is not part of KERI.

3. Signature Verification Infrastructure

1. Best practices for code delivery and library usage MUST be observed for signature verification infrastructure. Because the signature verification infrastructure need never be publicly disclosed an attacker must first discover what computing devices are being used to verify signatures.

5. GLEIF KERI Profile

4.3 Signature Verification Infrastructure

1. Best practices for code delivery and library usage MUST be observed for signature verification infrastructure. Because the signature verification infrastructure need never be publicly disclosed an attacker must first discover what computing devices are being used to verify signatures.

5.1 GLEIF Root AID Inception Event

1. GLEIF MUST hold a recorded GLEIF Root AID Genesis Event with at least a minimum of three Notaries as witnesses.

2. The OOBI for the KEL for the GLEIF Root AID Genesis Event:
   a. MUST be stored on the following GLEIF servers protected by extended validation HTTPS certificates:
      i. EU-FI-HZ2-01 54.215.213 Prod 2 Helsinki
      ii. NA-CA-OVH-01 51.79.54.121 Prod 1 Canada
      iii. CA-BR-AW1-01 54.215.109 Prod 1 Brazil
      iv. EU-UK-ALI-01 8.208.27.153 Prod 1 United Kingdom
      v. SA-BR-AWS-01 13.244.119.106 Prod 2 South Africa
      vi. RS-JP-AZR-01 20.78.61.137 Prod 2 Japan
      vii. EU-UK-ALI-01 8.208.27.153 Prod 2 United Kingdom
   b. MUST be stored at HTTPS URLs of the following affiliated organizations:
      i. Qualified vLEI Issuers
   c. MUST be stored as a file on a public GLEIF GitHub repository.
   d. MUST be shared on the following social media:
      i. LinkedIn and Twitter

5.2 GLEIF Root AID

1. Non-delegated pre-rotated keys are at the root level of the delegation hierarchy and MUST have the very highest level of protection.

2. MUST be a threshold multi-sig with weighting requirements that have been determined by GLEIF.

3. Key Pair Creation and Storage Infrastructure MUST be within a TEE.

4. Each key-pair in a thresholded multi-sig MUST use a non-co-located TEE.

5.3 GLEIF Root Witness Pool

1. The Witness Pool configuration MUST include a minimum of 5 with the sufficient threshold as per KAACE.

2. The number of Witnesses on any single web host provider MUST be less than the sufficient threshold as per KAACE (NOTE: this prevents a single web host provider from hosting a majority of Witnesses.)

3. The number of Witnesses on any single continent MUST be less than the sufficient threshold as per KAACE.
The number of Witnesses in any single political jurisdiction MUST be less than the sufficient threshold as per KAACE.

6.3.2 Ledger

6.3.1 Witness Registrar or Ledger Witness Pool Support:

An Endorser MUST use either a Witness Pool or a Ledger Registrar for Endorsement.

Each key-pair in a thresholded multi-sig MUST use a non-co-located key store.

The time and place of key rotation MUST be kept confidential among the key holders until after the rotation has been completed.

AID Rotations GLEIF policies for approving rotation of the issuing keys for the GLEIF-Delegated issuing identifier:

Qualification: covered by KERI Key Management Architecture

The Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents.

The Watcher Signing Key Pair key store MAY reside on the Watcher Service host but MUST use dedicated user only permissions on the key store directory and its contents.

The GLEIF Watcher Signing Key Pair key store MAY reside on the Watcher Service host but MUST use dedicated user only permissions on the key store directory and its contents.

The Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents.

When used, the encryption key store MUST reside on a different device or host from that of the Witness service.

The GLEIF Root Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents. The secrets in the key store MUST be encrypted with the key loaded dynamically whenever the Witness service is started. The key store MUST reside on a different device or host from that of the Witness service.

The Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents.

When used, the encryption key store MUST reside on a different device or host from that of the Witness service.

The GLEIF Watcher Signing Key Pair key store MAY reside on the Watcher Service host but MUST use dedicated user only permissions on the key store directory and its contents.

Larger pool sizes MUST use KAACE sufficient majority thresholds.

The time and place of key rotation MUST be kept confidential among the key holders until after the rotation has been completed.

The specific holders of cryptographic keys MUST be kept confidential and shall be determined by GLEIF internal policy.

Signing keys MUST be rotated whenever there is a likelihood of key compromise.

MUST use at least multi-sig scheme of at least 3 signers with a threshold of 2.

An Endorser MUST use either a Witness Pool or a Ledger Registrar for Endorsement.

The GLEIF Root Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents. The secrets in the key store MUST be encrypted with the key loaded dynamically whenever the Witness service is started. The key store MUST reside on a different device or host from that of the Witness service.

Each key-pair in a thresholded multi-sig MUST use a non-co-located key store.

The Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents.

Each key-pair in a thresholded multi-sig MUST use a non-co-located key store.

The Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents.

The GLEIF Watcher Signing Key Pair key store MAY reside on the Watcher Service host but MUST use dedicated user only permissions on the key store directory and its contents.

The Witness Signing Key Pair key store MAY reside on the Witness Service host but MUST use dedicated user only permissions on the key store directory and its contents.

When used, the encryption key store MUST reside on a different device or host from that of the Witness service.

Larger pool sizes MUST use KAACE sufficient majority thresholds.

Larger pool sizes MUST use KAACE sufficient majority thresholds.

When used, the encryption key store MUST reside on a different device or host from that of the Witness service.

Larger pool sizes MUST use KAACE sufficient majority thresholds.

Larger pool sizes MUST use KAACE sufficient majority thresholds.

When used, the encryption key store MUST reside on a different device or host from that of the Witness service.
6.5 Key Management

1. The specific holders of cryptographic keys MUST be kept confidential and shall be determined by Qualified vLEI Issuer internal policy.

2. GLEIF External GARs MUST approve a QVI Rotation Event that occurs less than six months from the last QVI Rotation Event.

3. Qualified vLEI Issuer Authorized Representatives (QARs) MUST contact GLEIF External GARs for approval of any QVI Rotation Event that occurs less than six months from the last QVI Rotation Event.

4. Signing keys MUST be rotated whenever there is a likelihood of key compromise.

5. The time and place of key rotation MUST be kept confidential among the key holders until after the rotation has been completed.

6. Encryption keys protecting private keys SHOULD be rotated prophylactically at least quarterly and MUST be rotated whenever the associated signing key store host configuration changes.

7. Signing keys MUST be rotated whenever there is a likelihood of key compromise.

8. The time and place of key rotation MUST be kept confidential among the key holders until after the rotation has been completed.

9. Encryption keys protecting private keys SHOULD be rotated prophylactically at least quarterly and MUST be rotated whenever the associated signing key store host configuration changes.

6.6 Delegation

The Delegated AID of a Qualified vLEI Issuer MUST set the Do Not Delegate configuration trait to True. (NOTE: This may change in future versions in order to accommodate horizontal scalability of the vLEI signing infrastructure.)

6.7 Key Compromise Monitoring

1. Qualified vLEI Issuers MUST monitor their public Witnesses for their vLEI issuance and revocation registry for erroneous or malicious issuances and revocations (primarily issuances) in order to inform their key management process that a key recovery may be required.

6.8 Key Compromise Recovery

1. In any case of key compromise, a Qualified vLEI Issuer MUST:
   a. Send a key recovery event explanation to GLEIF for publication in GLEIF’s public registry of Qualified vLEI Issuer recovery events.
   b. Make a recovery rotation event that forks their KEL and submit the recovering rotation event and signatures to GLEIF in order that GLEIF may anchor a confirmation seal in its KEL.
   c. Investigate as expeditiously as possible at its own expense the source of the key compromise and make a full report of the investigation to GLEIF.
   d. Report to GLEIF all key compromise recovery operations within 24 hours of gaining knowledge of the key compromise.

6.9 vLEI Issuance and Revocation Policies

1. Qualified vLEI Issuers MUST monitor their public Witnesses for their vLEI issuance and revocation registry for erroneous or malicious issuances and revocations (primarily issuances) in order to inform their key management process that a key recovery may be required.

6.10 Challenge Message Policies

1. The Challenge Message MUST include a cryptographic nonce generated in real time.
   a. The Challenge Response Message MUST be Fully Signed by the Responder.
   b. The Challenge Message MUST be a valid Challenge Message.

6.11 Policies for Sharing Authenticated AIDs

1. New members MUST be able to Spot Check through Identity Authentication and the Challenge Response process any new authenticated AID they receive from existing members or their new group multi-sig AID.
<table>
<thead>
<tr>
<th>Section</th>
<th>Sub-section</th>
<th>MUST Statements: Technical Requirements Part 2: KERI Infrastructure</th>
<th>Requirement satisfied by vLEI Ecosystem Governance Framework</th>
<th>Requirement to be satisfied by ISO 20000 Certification</th>
<th>Requirement satisfied by vLEI Issuer Qualification Program</th>
<th>Requirement satisfied by KERI Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2</td>
<td>Specification Version Upgrades</td>
<td>1. Previous versions explicitly cited by policies in this document MUST be supported for a period 18 months</td>
<td>X</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>2. New versions MUST be implemented within a period 12 months after final approval of the new version, unless otherwise superseded by revised policies in a new version of the vLEI Ecosystem Governance Framework.</td>
<td>X</td>
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<td></td>
<td></td>
<td>3. After upgrading to a new version, implementers MUST NOT begin using any breaking changes until the end of the time period required to adopt new versions. For example, v2.0 must be compatible with v1.0 until the end of the v2.0 adoption period. So v2.0 must be used in a v1.0 compatible mode.</td>
<td>X</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>2</td>
<td>Security and Privacy</td>
<td>1. All signatures for the vLEI Credentials MUST use Ed25519 Signatures CESR Proof Format.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>2. All vLEI Credential schema MUST be SIS compliant.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>3. All instantiated vLEI Credentials MUST be ACDC compliant.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>4. All SADs MUST use the cryptoblake3-256 digest.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<tr>
<td>3</td>
<td>Requirements for vLEI ACDCs</td>
<td>1. Issuers and Holder Identifiers MUST be KERI AIDs that use the did:keri Method.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>2. All vLEI Credentials MUST include an ACDC version string field.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>3. All vLEI Credentials MUST support JSON serialization.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td>4. All vLEI Credentials MUST include a SAD (as evidence of immutability).</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
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<td>5. The following ACDC sections MUST include a SAD - Attribute (data payload) section, Schema section and Rules section.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
<td></td>
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<td></td>
<td></td>
<td>6. All source links MUST include the SAD of the referenced ACDC.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td>7. Issuers MUST support the issuance of vLEI Credentials in any or all three forms.</td>
<td>X</td>
<td>X</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>8. Issuers MUST provide the SADs at issuance to Holders when issuing forms 2 and 3, by either including the SAD in the presentation or including a reference to the highly-available service endpoint from which the SAD can be retrieved.</td>
<td>X</td>
<td>X</td>
<td>X; covered by KERI vLEI Credential Operations</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>vLEI Credential Schema</td>
<td>1. vLEI Credential schema MUST be compliant the SAD and SIS specifications.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<td></td>
<td></td>
<td>2. All vLEI Credential schema MUST include a SAD (as evidence of immutability).</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<tr>
<td>Section</td>
<td>Description</td>
<td>Compliance</td>
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<tr>
<td>3.1</td>
<td>Each vLEI Credential MUST be in compliance with its specific vLEI Credential Governance Framework.</td>
<td>X</td>
<td></td>
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<td></td>
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</tr>
<tr>
<td>3.1</td>
<td>Each vLEI Credential MUST be chained to its source(s), if any, as required by the applicable vLEI Credential Governance Framework in accordance with the ACDC specification.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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<tr>
<td>5</td>
<td>The Proof Format for vLEI credentials MUST comply with the CESR Proof Format specification.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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</tr>
<tr>
<td>6.1</td>
<td>Each vLEI credential Issuer MUST maintain a highly-available issuance and registration registry in compliance with the Public Transaction Event Log (PTEL) Specification.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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</tr>
<tr>
<td>7.1</td>
<td>vLEI credential Issuers MUST comply with the Issuance Exchange Protocol Specification for ACDC and KERI.</td>
<td>X; covered by KERI vLEI Credential Operations</td>
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</tr>
</tbody>
</table>
## 2. Official vLEI Credential Schema

### 2.1 Requirements

1. The digest algorithm employed for generating schema SAIDs **MUST** have an approximate cryptographic strength of 128 bits.  
   - **Requirement satisfied by vLEI Ecosystem Governance Framework:** X  
   - **Requirement to be satisfied by ISO 20000 Certification:** X  
   - **Requirement satisfied by vLEI Issuer Qualification Program:** X  
   - **Requirement satisfied by KERI Operations:** X  

2. The SAID **MUST** be generated in compliance with the IETF-SAID internet draft specification and **MUST** be encoded using CESR. The CESR encoding indicates the type of cryptographic digest used to generate the SAID.  
   - **Requirement satisfied by vLEI Ecosystem Governance Framework:** X  
   - **Requirement to be satisfied by ISO 20000 Certification:** X  
   - **Requirement satisfied by vLEI Issuer Qualification Program:** X  
   - **Requirement satisfied by KERI Operations:** X  

3. The schema **MUST** be JSON-Schema 2020-12 compliant. The table in 2.3 below provides the normative SAIDs for each of the official schema.  
   - **Requirement satisfied by vLEI Ecosystem Governance Framework:** X  
   - **Requirement to be satisfied by ISO 20000 Certification:** X  
   - **Requirement satisfied by vLEI Issuer Qualification Program:** X  
   - **Requirement satisfied by KERI Operations:** X  

### 2.2 Versioning

1. As per the semantic versioning rules, a backward incompatible schema **MUST** have a higher MAJOR version number than any backward incompatible version.  
   - **Requirement satisfied by vLEI Ecosystem Governance Framework:** X  
   - **Requirement to be satisfied by ISO 20000 Certification:** X  
   - **Requirement satisfied by vLEI Issuer Qualification Program:** X  
   - **Requirement satisfied by KERI Operations:** X
## Requirement satisfied by GLEIF Identifier Governance Framework

<table>
<thead>
<tr>
<th>Section</th>
<th>Sub-section</th>
<th>Requirement satisfied by GLEIF Identifier Governance Framework</th>
<th>Requirement to be satisfied by ISO 20000 Certification</th>
<th>Requirement satisfied by vLEI Issuer Qualification Program</th>
<th>Requirement satisfied by KERI Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>5. AID Generation</td>
<td>1.</td>
<td>An AID conformant with this Governance Framework MUST be created from two sets of asymmetric signing key pairs generated from a cryptographically-secure pseudo-random number generator (CSPRNG) or a true random number generator with at least 128 bits of cryptographic strength.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>2.</td>
<td>The AID MUST then be derived from a cryptographically-secure digest of a serialization of the public keys of the first set of key pairs and a cryptographically-secure digest of second set of key pairs, as well as any other identifiers and configuration parameters associated with the supporting infrastructure for the Root Identifier as specified in the Technical Requirements Part 1 KERI Infrastructure.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>3.</td>
<td>The cryptographic digest MUST have at least 128 bits of cryptographic strength.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td>6. AID Controllers</td>
<td>1.</td>
<td>All Controllers MUST establish their own Private Key Store.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>2.</td>
<td>All Controllers MUST keep their private keys secret.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>3.</td>
<td>A given Controller MUST control one and only one key pair from each set of keys.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>4.</td>
<td>The KERI protocol MUST be used to transfer control authority from one set of keys to another.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td>5. Continuity and Survivorship</td>
<td>1.</td>
<td>GLEIF MUST have a Continuity Policy for the survival of control authority of all Controllers for the GLEIF Root AID and its Delegated AIDs, including Escrow Agents.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2.</td>
<td>The legal identity of each Controller.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>3.</td>
<td>Which Controllers shall control the GLEIF Root AID, the GIDA and the GEDA.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>4.</td>
<td>A set of policies MUST be put in place that ensure fault-tolerance with respect to common mode failures of the multi-sig signing authority of the set of GLEIF Controllers, e.g., a Designated Survivor policy and/or restrictions on joint travel and in-person attendance of meetings.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>5.</td>
<td>GLEIF MUST establish real-time Out-of-Band Interaction (OOBI) session(s) in which all initial GLEIF Controllers are present. An example is each Controller visually presenting one or more legal identity credentials for all other Controllers to verify against the list of initial GLEIF Controllers.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>6.</td>
<td>Each session MUST be recorded and the recording stored in high-security storage.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>7.</td>
<td>All GLEIF Controllers MUST mutually authenticate each other’s legal identities before proceeding with any further steps. An example is each Controller visually presenting one or more legal identity credentials for all other Controllers to verify against the list of initial GLEIF Controllers.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>8.</td>
<td>The following steps MUST be performed in the order listed and completed during each OOBI session for the GLEIF Root AID.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
<tr>
<td></td>
<td>9.</td>
<td>Each Root AID GLEIF Authorized Representative (Root GAR) MUST generate its own single signature AID that is a participating member in the group of AIDs that will be used to create the GLEIF Root AID.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
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<tr>
<td></td>
<td>10.</td>
<td>Each Root GAR MUST use an OOBI protocol (such as a QR code or live chat) to share its own OID and Service Endpoints with the other Root GARs. For each Root GAR, this provides the participating AID and the service endpoint whereby the other Root GARs may obtain the Key Event Log (KEL) of its participating AID.</td>
<td>Requirement in GLEIF Identifier Governance Framework</td>
<td></td>
<td>Requirement satisfied by KERI Key Management</td>
</tr>
</tbody>
</table>
The Internal GAR Lead MUST select the AIDs and Service Endpoints from the GLEIF Internal Delegated AID Witness Pool. The following steps MUST be performed in the order listed and completed during each OOBi session for each of the two GLEIF Delegated AIDs, namely, the GLEIF Internal Delegated AID (GIDA) in this section and the GLEIF External Delegated AID (GEDA) in section 7.

The Internal Delegated AID GLEIF Authorized Representative (Internal GAR) that is a participating member in the group of AIDs MUST generate its own single signature AID that will be used to create the GIDA.

Each Internal GAR MUST use an OOBi protocol (such as a QR code or live chat) to share its own AID and Service Endpoints with the other Internal GARs. For each Internal GAR, this provides the participating AID and the service endpoint whereby the other internal GARs may obtain the KEI of its participating AID.

One of the Internal GARs MUST be designated as the Internal Delegated AID GLEIF Authorized Representative Lead (Internal GAR Lead).

The Internal GAR Lead MUST select the AIDs and Service Endpoints from the GLEIF Internal Delegated AID Witness Pool.

Using the current public key and the next public key digest from each of the participating AID Inception Events and the Root Witness AIDs, the Root GAR Lead MUST generate the GLEIF Root AID Inception Event and publish this to the Root GARs and to the Root AID Witnesses designated by that Inception Event.

Each Root GAR MUST sign and publish to the Root AID Witnesses their signature on the Root AID Inception Event.

Each Root GAR MUST verify in real time that a response to the Challenge Message was received from every other Root GAR.

Each Root GAR MUST use the OOBI protocol (such as a QR code or live chat) to share its own AID and Service Endpoints with the other Root Garis. For each Internal GAR, this provides the participating AID and the service endpoint whereby the other Root GARs may obtain the KEI of its participating AID.
7. Creation of GLEIF External Delegated AIDs

a. Each External Delegated AID GLEIF Authorized Representative (External GAR) that is a participating member in the group of AIDs MUST generate its own single signature AID that will be used to create the GEDA.

b. Each External GAR MUST use an OOBI protocol (such as a QR code or live chat) to share its own AID and Service Endpoints with the other External GARs. For each External GAR, this provides the participating AID and the service endpoint whereby the other External GARs may obtain the KEI of its participating AID.

c. Each External GAR MUST send a Challenge Message to every other External GAR as defined in the Technical Requirements Part 3 KERI Infrastructure for the purposes of cryptographic authentication of their GEDA. The Challenge Message MUST be unique to each OOBI session.

d. Each External GAR MUST verify in real time that a response to the Challenge Message was received from every other External GAR.

e. Each External GAR MUST verify the signature of every other External GAR.

f. One of the External GARs MUST be designated as the External Delegated AID GLEIF Authorized Representative Lead (External GAR Lead).

g. The External GAR Lead MUST select the AIDs and Service Endpoints from the GLEIF External Delegated AID Witness Pool.

h. The External GAR Lead MUST select the AIDs from the set of External GARs for the ordered set of authorized participant members in the multi-sig group and configure and approve the weight threshold and ordered set of participants for both the current and next set and threshold of participants.

i. Using the current public key and the next public key digest from each of the participating AID Inception Events, the External Delegated Witness AIDs, and the GLEIF Root AID, the External GAR Lead MUST generate the GLEIF External Delegated AID Inception Event and publish this to the External Delegated AID Witnesses designated by that Inception Event. The published Inception Event includes as an attachment OOBI s for each of the External Delegated AID Witnesses.

j. Each External GAR MUST verify the set of public keys, the next public key digest, the Witness identifiers, the threshold, the next threshold and the Root AID in the External Delegated AID Inception Event.

k. Each External GAR MUST verify the set of Witness endpoints for the GEDA.

l. Each External GAR MUST verify that the External Delegated AID Inception Event is fully witnessed by every Witness.

m. Each External GAR MUST verify that the External Delegated AID Inception Event is fully witnessed by every Witness.

8. Rotation Event to delegate the GLEIF Internal Delegated AIDs

The following steps MUST be performed in the order listed and completed during this OOBI session for each of the two GLEIF Delegated AIDs, namely, the GLEIF Internal Delegated AID (GIDA) in this section and the GLEIF External Delegated AID (GEDA) in section 7.

a. A threshold satisfying subset of Internal GARs MUST each rotate their participating AIDs.

b. Using the current public key, the next public key digest from each of the participating AID Rotation Events, and the digests of the GLEIF Internal Delegated AID Inception Event, the Internal GAR Lead MUST generate a GLEIF Internal Delegated AID Rotation Event and publish this to the other participating Internal GARs and to the Root AID Witnesses.
c. Each Internal GAR MUST verify the set of public keys, the next public key digest, and delegated Inception Event digests in that Rotation Event.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Key Management Operations

d. Each Internal GAR MUST sign and publish to the Root AID Witnesses their signature on the Root AID Rotation Event.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

e. Each Internal GAR MUST verify that the Root AID Rotation Event is fully witnessed by every Root AID Witness.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

9. Rotation Event to delegate the GLEIF External Delegated AIDs

The following steps MUST be performed in the order listed and completed during this OOB session for each of the two GLEIF Delegated AIDs, namely, the GLEIF Internal Delegated AID (GIDA) in section 8 and the GLEIF External Delegated AID (GEDA) in this section.

a. A threshold satisficing subset of External GARs MUST each rotate their participating AIDs.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

b. Using the current public key, the next public key digest from each of the participating AID Rotation Events, and the digests of the GLEIF External Delegated AID Inception Event, the External GAR Lead MUST generate a GLEIF External Delegated AID Rotation Event and publish this to the other participating External GARs and to the Root AID Witnesses.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Key Management

c. Each External GAR MUST verify the set of public keys, the next public key digest, and delegated Inception Event digests in that Rotation Event.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

d. Each External GAR MUST sign and publish to the Root AID Witnesses their signature on the Root AID Rotation Event.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

e. Each External GAR MUST verify that the Root AID Rotation Event is fully witnessed by every Root AID Witness.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

Publication of GLEIF Root AID and GLEIF Delegated AIDs

1. The GLEIF Root AID and GLEIF Delegated Internal and External AIDs MUST be published in a sufficiently strongly correlated and fault-tolerant manner to establish it as the unique AID for GLEIF.

X; requirement in GLEIF Identifier Governance Framework

2. The set of publication points MUST include at least 4 of the list of publication points initially highlighted below following the creation of the GLEIF Root AID and GLEIF Delegated Internal and External AIDs.

X; requirement in GLEIF Identifier Governance Framework

a. The GLEIF HTTPS website.

X; requirement in GLEIF Identifier Governance Framework

b. The HTTPS website of the GLEIF Regulatory Oversight Committee.

X; requirement in GLEIF Identifier Governance Framework
c. The HTTPS websites of all QVIs.

X; requirement in GLEIF Identifier Governance Framework
d. In the KERI Event Log hosted by GLEIF KERI Witnesses.

X; requirement in GLEIF Identifier Governance Framework

e. Published to at least 3 international newspapers in separate national jurisdictions (applies only to GLEIF Root AID). These publications are: Financial Times UK edition, South China Morning Post - Business and American Banker.

X; requirement in GLEIF Identifier Governance Framework

f. Published to github repositories: The Web of Trust github repository, Public GLEIF-controlled github repository.

X; requirement in GLEIF Identifier Governance Framework
g. Published to public registries: IANA (IETF RFCs) registries, ISO registries.

X; requirement in GLEIF Identifier Governance Framework

Abandonment

1. Voluntary abandonment

GLEIF MUST abandon its GLEIF Root AID if GLEIF no longer holds the role of root of trust for the vLEI Ecosystem.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations

2. Private Key Compromise or Natural Disaster

If in the extremely unlikely event of the failure of all key recovery provisions specified in Technical Requirements Part 1: KERI Infrastructure, GLEIF MUST abandon its Root AID and Delegated Internal and External AIDs and create and publish its new Root AID and Delegated Internal and External AIDs.

X; requirement in GLEIF Identifier Governance Framework

X; covered as part of KERI Identifier Operations
<table>
<thead>
<tr>
<th>Section</th>
<th>Sub-section</th>
<th>MUST Statements Qualified vLEI Issuer Identifier and vLEI Credential (QVI AID and vLEI Credential)</th>
<th>Requirement satisfied by vLEI Ecosystem Governance Framework</th>
<th>Requirement to be satisfied by ISO 20000 Certification</th>
<th>Requirement satisfied by vLEI Issuer Qualification Program</th>
<th>Requirement satisfied by KERI Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>6.1</td>
<td>Qualifications</td>
<td>1. The Issuer MUST ensure that the Issuer of the QVI vLEI Credential is GLEIF.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF</td>
<td></td>
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</tr>
<tr>
<td></td>
<td></td>
<td>2. The Issuer MUST:</td>
<td></td>
<td></td>
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<tr>
<td>6.2</td>
<td>Credential</td>
<td>1. use the QVI vLEI Credential schema defined in section 10.1.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF</td>
<td>X; Credential format in KERI code</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>2. include the Claims marked as Required in section 10.1.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF</td>
<td>X; Credential format in KERI code</td>
<td></td>
</tr>
<tr>
<td>6.3</td>
<td>QVI Identity Verification</td>
<td>1. Identity Assurance a. An External GLEIF Authorized Representative (External GAR) MUST perform identity assurance of a person serving in the role of GLEIF Authorized Representative (QAR) to at least Identity Assurance Level 2 (IAL2) as defined in NIST 800-63A</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>b. A minimum of two QARs MUST form the QVI multi-sig group.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
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</tr>
<tr>
<td></td>
<td></td>
<td>c. An External GAR MUST lead for the anchoring action for the QVI External Delegated AID described below.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>2. Identity Authentication a. A credential wallet MUST be set up for the QVI.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>b. The QVI MUST designate a QAR to act on its behalf.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>c. An External GAR and the QAR MUST establish a real-time OOBI session in which the External GAR and the QAR are present. An example is a continuous web meeting attended by all parties on both audio and video.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
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<td></td>
<td>d. The following steps MUST be performed in this order and completed during this OOBI session.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>i. The External GAR MUST perform manual verification of the QAR’s legal identity for which the External GAR has already performed identity assurance. An example is the QAR visually presenting one or more legal identity credentials to the External GAR who compares the credentials verified during identity assurance to the QAR Person.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
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<td></td>
<td></td>
<td>ii. The External GAR MUST use an OOBI protocol (such as a QR code or live chat) to share the GLEIF External Delegated AID (GEDA) with the QAR.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td>iii. An QAR MUST use an OOBI protocol (such as a QR code or live chat) to share the QVI AID with the External GAR.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
<td></td>
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<td></td>
<td></td>
<td>iv. The External GAR MUST send a Challenge Message from the GEDA to the QVI AID as defined in the Technical Requirements Part 2 KERI Infrastructure for the purposes of cryptographic authentication of the QVI AID. The Challenge Message MUST be unique to the OOBI session.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
<td></td>
</tr>
</tbody>
</table>
The QAR Lead initiates a set of QARs to create a multi-sig group and the QARs mutually authenticate.

The following steps MUST be performed in the order listed and completed during this OOBI session for the GLEIF External delegation of the QVI Delegated AIDs.

1. Each Delegated AID QVI Authorized Representative (QAR) that is a participating member in the group of AIDs MUST generate its own individual single signature AID and the GEDA in the Delegated AID Inception Event.

2. Each QAR MUST verify the set of public keys, the next public key digest, the Witness identifiers, the threshold, the next threshold, Witness AIDs, and the GEDA, the QAR Lead MUST generate the QVI Delegated AID Inception Event and publish this to the other QAR.

3. Each QAR MUST use an OOBI protocol (such as a QR code or live chat) to share its own AID with the other QARs. For each QAR, this provides the participating AID and the service endpoint whereby the other QARs may obtain the KEI of its participating AID.

4. The QAR Lead MUST select the AIDs from the set of QARs for the ordered set of authorized participant members in the multi-sig group and configure and approve the weight threshold and ordered set of participants for both the current and next set and threshold of participants.

5. Using the current public key and the next public key digest from each of the participating AID Inception Events, the Delegated Witness AIDs, and the GEDA, the QAR Lead MUST generate the QVI Delegated AID Inception Event and publish this to the other QARs and to the Delegated AID Witnesses designated by that Inception Event.

6. Each QAR MUST verify the set of public keys, the next public key digest, the Witness identifiers, the threshold, the next threshold, and the GEDA in the Delegated AID Inception Event.

7. Each QAR MUST verify in real-time that a response to the Challenge Message was received from every other QAR. For each QAR, this verifies the participating AID and service endpoint whereby the other QARs may obtain the KEI of its participating AID.

8. Each QAR MUST sign the challenge message to every other QAR as defined in the Technical Requirements Part 1 KERI Infrastructure for the purposes of cryptographic authentication of their individual single signature AID. The Challenge Message MUST be unique to the OOBI session.

9. Each QAR MUST send a Challenge Message to every other QAR as defined in the Technical Requirements Part 1 KERI Infrastructure for the purposes of cryptographic authentication of their individual single signature AID. The Challenge Message MUST be unique to the OOBI session.

10. Each QAR MUST verify the signature of every other QAR.

11. The creation of the QVI Delegated AIDs follows the successful completion of Identity Verification by the External GAR Lead of each QAR.

12. The External GAR must verify in real time that the response to the Challenge Message was received from the QAR.

13. When the response to the Challenge Message has been received, the External GAR must verify the signature of the QAR.

6.4 Creation of the QVI Delegated AIDs

1. The following steps MUST be performed in the order listed and completed during an OOBI session for a given QVI Delegated AID.

2. Each Delegated AID QVI Authorized Representative (QAR) that is a participating member in the group of AIDs MUST generate its own individual single signature AID and the GEDA in the Delegated AID Inception Event.

3. Each QAR MUST use an OOBI protocol (such as a QR code or live chat) to share its own AID with the other QARs. For each QAR, this verifies the participating AID and service endpoint whereby the other QARs may obtain the KEI of its participating AID.

4. Each QAR MUST send a Challenge Message to every other QAR as defined in the Technical Requirements Part 1 KERI Infrastructure for the purposes of cryptographic authentication of their individual single signature AID. The Challenge Message MUST be unique to the OOBI session.

5. Each QAR MUST verify in real time that a response to the Challenge Message was received from every other QAR.

6. Each QAR MUST verify the signature of every other QAR.

7. The QAR Lead MUST either configure or select the AIDs and Service Endpoints for the QVI Delegated AID Witness Pool.

8. The QAR Lead MUST select the AIDs from the set of QARs for the ordered set of authorized participant members in the multi-sig group and configure and approve the weight threshold and ordered set of participants for both the current and next set and threshold of participants.

9. Using the current public key and the next public key digest from each of the participating AID Inception Events, the Delegated Witness AIDs, and the GEDA, the QAR Lead MUST generate the QVI Delegated AID Inception Event and publish this to the other QARs and to the Delegated AID Witnesses designated by that Inception Event.

10. Each QAR MUST verify the set of public keys, the next public key digest, the Witness identifiers, the threshold, the next threshold, and the GEDA in the Delegated AID Inception Event.

11. Each QAR MUST verify in real-time that a response to the Challenge Message was received from every other QAR. For each QAR, this verifies the participating AID and service endpoint whereby the other QARs may obtain the KEI of its participating AID.

12. Each QAR MUST sign the challenge message to every other QAR as defined in the Technical Requirements Part 1 KERI Infrastructure for the purposes of cryptographic authentication of their individual single signature AID. The Challenge Message MUST be unique to the OOBI session.

13. Each QAR MUST send a Challenge Message to every other QAR as defined in the Technical Requirements Part 1 KERI Infrastructure for the purposes of cryptographic authentication of their individual single signature AID. The Challenge Message MUST be unique to the OOBI session.

14. Each QAR MUST verify in real time that a response to the Challenge Message was received from every other QAR.

15. Each QAR MUST verify the signature of every other QAR.

6.5 Delegation of the QVI Delegated AIDs

1. Unless otherwise pre-approved by the GLEIF Root GARs, GLEIF External AID MUST use an Interaction Event to approve the delegation of the QVI Delegated AIDs.

2. The following steps MUST be performed in the order listed and completed during this OOBI session for the GLEIF External Delegated AIDs (GEDA).

3. The QAR Lead initiates a set of QARs to create a multi-sig group and the QARs mutually authenticate.
b. The QAR Lead initiates the creation of the Inception Event using the published GLEIF External AID as the Delegator. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer

The External GAR Lead verifies that the set of QARs in the multi-sig group in this Inception Event to delegate the QVI External AID match those that the External GAR Lead verified according to section 6.3 above. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

c. The External GAR Lead submits request to the External GAR multi-sig group to anchor the Interaction event. All members of the External GAR multi-sig group must trust the External GAR Lead to perform Identity Assurance on the QARs. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

d. The External GAR Lead then submits a request to the Qualified vLEI Issuer vLEI Credential to QVI vLEI to the External GAR multi-sig group as an Interaction Event. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

e. The External GAR Lead submits request to the External GAR multi-sig group to anchor the Interaction event. All members of the External GAR multi-sig group trust External GAR Lead to perform Identity Assurance on the QARs. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

6.6 QVI vLEI Credential Issuance

1. The External GAR MUST approve issuance of a QVI vLEI Credential after the completion of QVI Identity Verification in section 6.3 above. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

6.7 QVI vLEI Credential Revocation

5. Voluntary Revocation

a. An External GAR MUST revoke a Legal Entity vLEI Credential upon receipt of a Fully Signed revocation request by the QAR(s) of the Legal Entity, e.g., if the Legal Entity chooses to no longer be the Holder of this Credential using the vLEI software. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF X; covered as part of the Credential revocation process with KERI

b. An External GAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA). X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

6. Involuntary Revocation

a. Involuntary revocation of vLEI Credentials MUST follow the process specified in Appendix 5, Service Level Agreement (SLA). X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for GLEIF

7 QVI Self-issuance of vLEIs

2. GLEIF MUST oversee the assignment of these vLEI Credentials issued by QVIs to themselves. X; requirement in Credential Governance Framework X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

9 Verifier Policies

2. When part of a chain, each chained vLEI MUST include a reference to one or more preceding vLEIs in its provenance chain. X; requirement in Credential Governance Framework

3. If any preceding vLEIs in the provenance chain or a given vLEI is revoked, then that given vLEI MUST not verify. X; requirement in Credential Governance Framework

4. The schema for each type of vLEI defines what type or types of vLEIs MUST or MAY be referenced in its provenance section. X; requirement in Credential Governance Framework

10 Credential Definition

10.1 Schema

1. The OOR vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in: https://github.com/WebOfTrust/vLEI/blob/dev/schema/acdc/qualified-vLEI-issuer-vLEI-credential.json X; requirement in Credential Governance Framework

2. The field values in the credential MUST be as follows:

   a. The "LEI" field value MUST be the LEI of the QVI. X; requirement in Credential Governance Framework

   b. The "gracePeriod" field value MUST be at least 90 (ninety) Days. X; requirement in Credential Governance Framework
<table>
<thead>
<tr>
<th>Section</th>
<th>Sub-section</th>
<th>MUST Statements Legal Entity vLEI Credential</th>
<th>Requirement satisfied by vLEI Ecosystem Governance Framework</th>
<th>Requirement to be satisfied by ISO 20000 Certification</th>
<th>Requirement satisfied by vLEI Issuer Qualification Program</th>
<th>Requirement satisfied by KERI Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>6.1 Qualifications</td>
<td>1.</td>
<td>be a Qualified vLEI Issuer (QVI) in the vLEI Ecosystem with qualification up to date.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
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<td></td>
<td>2.</td>
<td>follow all of the requirements specified in the vLEI Issuer Qualification Agreement.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
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<td></td>
<td>3.</td>
<td>use the vLEI software for hosting Witnesses, Watchers and for Key Management.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6.2 Credential</td>
<td>1.</td>
<td>use the Legal Entity vLEI Credential schema defined in section 8.1.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td>X; Credential format in KERI code</td>
<td></td>
</tr>
<tr>
<td>6.3 Legal Entity Identity Verification</td>
<td>1. Identity Assurance</td>
<td>A QVI Authorized Representative (QAR) MUST verify that the LEI supplied for the Credential is the LEI of the Legal Entity for which the issuance request for the Credential has been made.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2. Identity Authentication</td>
<td>A credential wallet MUST be set up for the Legal Entity and for each LAR.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>3. The Legal Entity MUST designate a set of one or more LARs to act on its behalf.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td>4. A QAR and the LARs MUST establish a real-time OOBI session in which the QAR and all LARs are present. An example is a continuous web meeting attended by all parties on both audio and video.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
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<td></td>
<td></td>
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<tr>
<td></td>
<td>5. The following steps MUST be performed in this order and completed during this OOBI session.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>6. The QAR MUST perform manual verification of each LAR’s legal identity for which the QAR has already performed Identity Assurance. An example is each LAR visually presenting one or more legal identity credentials and the QAR compares the credentials verified during Identity Assurance to the QAR Person.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
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<td></td>
<td>7. The QAR MUST use an OOBI protocol (such as a QR code or live chat) to share the QVI Autonomic Identifier (AID) with the LARs.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
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<tr>
<td></td>
<td>8. A LAR MUST use an OOBI protocol (such as a QR code or live chat) to share the Legal Entity AID with the QAR.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of Qualified vLEI Issuer compliance</td>
<td></td>
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</tbody>
</table>
### 6.4 Issuance

1. **Voluntary Issuance**
   - In addition, a workflow MUST be implemented in the operations of the QVI which requires, prior to issuing and signing an Legal Entity vLEI Credential, that the above-mentioned Identity Assurance, Identity Authentication and out-of-band validations are performed by a QAR. Another QAR then approves the issuance and signs the Legal Entity vLEI Credential. The QAR MUST send a Challenge Message to the Legal Entity AID as defined in the Technical Requirements Part 1 for the purposes of cryptographic authentication of the Legal Entity AID. The Challenge Message MUST be unique to the QVI. The QAR MUST acknowledge that this action has been completed.
   - Each QAR MUST use its Private Key Store to sign and return a response to the Challenge Message, after which the QAR MUST acknowledge that this action has been completed.
   - The QAR MUST verify in real time that a response to the Challenge Message was received from each LAR.
   - When all responses to Challenge Messages sufficient to satisfy the multi-sig threshold have been received, the QAR MUST verify the complete set of signatures.

2. **Involuntary Issuance**
   - A QAR MUST revoke a Legal Entity vLEI Credential upon receipt of a Fully Signed revocation request by the LAR(s) of the Legal Entity, e.g., if the Legal Entity chooses to no longer be the Holder of this Credential.
   - Involuntary revocation of vLEI Credentials MUST follow the process specified in Appendix 5, Service Level Agreement (SLA).

3. **QARs MUST call the vLEI Reporting API with each issuance event of Legal Entity vLEI Credentials.**
   - QVIs MUST call the vLEI Reporting API with each issuance event of Legal Entity vLEI Credentials.
   - GLEIF MUST update the list of vLEI Credentials on the LEI page of the Legal Entity to reflect Legal Entity vLEI credential issuances that have been reported by QVIs.

### 6.5 Revocation

1. **Voluntary Revocation**
   - A QAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA).
   - GLEIF MUST update the list of vLEI Credentials on the LEI page of the Legal Entity to reflect Legal Entity vLEI credential revocations that have been reported by QVIs.

2. **Involuntary Revocation**
   - VLEI Credentials MUST follow the process specified in Appendix 5, Service Level Agreement (SLA).

3. **QAR MUST call the vLEI Reporting API with each revocation event of Legal Entity vLEI Credentials.**

4. **GLEIF MUST update the list of vLEI Credentials on the LEI page of the Legal Entity to reflect Legal Entity vLEI credential revocations that have been reported by QVIs.**

5. **The QAR MUST remove the LEI of the Legal Entity from the process to monitor the status of LEIs used within vLEIs.**
   - The Legal Entity MUST verify in real time that a response to the Challenge Message was received from each LAR.
   - When all responses to Challenge Messages sufficient to satisfy the multi-sig threshold have been received, the QAR MUST verify the complete set of signatures.

### 5. Credential Definition

1. **The Legal Entity vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in:**

### 5.1 Schema

1. **“LEI” field value MUST be the LEI of Legal Entity Holder.**
   - The LEI field value MUST be the LEI of Legal Entity Holder.

2. **The Sources section MUST contain a source reference to the Qualified vLEI Issuer vLEI Credential of the QVI that issued this Legal Entity vLEI Credential.**
   - The Sources section MUST contain a source reference to the Qualified vLEI Issuer vLEI Credential of the QVI that issued this Legal Entity vLEI Credential.
**6 Issuer Policies**

6.1 Qualifications

1. The Issuer MUST be a LAR of a Legal Entity that holds a valid Legal Entity vLEI Credential that was issued by the QVI with which the Legal Entity has contracted to issue vLEI Role Credentials.

2. The Issuer MUST:

   1. use the QVI AUTH vLEI Credential schema defined in sections 9.1 and 9.2 for authorizing the associated OOR vLEI or ECR vLEI AUTH credentials respectively.

   2. include the Claims marked as Required in the schema indicated in 9.1 and 9.2.

6.2 Credential

1. The Issuer MUST:

   1. use the QVI AUTH vLEI Credential schema defined in sections 9.1 and 9.2 for authorizing the associated OOR vLEI or ECR vLEI AUTH credentials respectively.

   2. include the Claims marked as Required in the schema indicated in 9.1 and 9.2.

6.3 Identity Verification

1. Identity Assurance

   a. A LAR MUST perform identity assurance of an OOR Person or ECR Person designated by the Legal Entity to receive vLEI Role Credentials to at least Identity Assurance Level 2 (IAL2) as defined in NIST 800-63A (https://pages.nist.gov/800-63-v3/800-63a.html). Even when IAL2 is used for Identity Assurance, a real-time OOBI session is required as specified in 2.b. below (essentially including the IAL3 requirement for a Supervised Remote In-person session).

   b. A credential wallet MUST be set up for the OOR Person or ECR Person.

   c. A LAR and the OOR Person or ECR Person MUST establish a real-time OOBI session in which the LAR and the OOR Person or ECR Person are present. An example is a continuous web meeting attended by all parties on both audio and video.

   d. The following steps MUST be performed in this order and completed during this OOBI session.

      i. The LAR MUST perform manual verification of the OOR Person’s or ECR Person’s legal identity for which the LAR has already performed Identity Assurance. An example, the OOR Person or ECR Person visually presenting one or more legal identity credentials and the LAR compares the credentials verified during Identity Assurance to the OOR Person or ECR Person.

      ii. The LAR MUST use an OOBI protocol (such as a QR code or live chat) to share its Autonomic Identifier (AID) with the OOR Person or ECR Person.

      iii. The OOR Person or ECR Person MUST use an OOBI protocol (such as a QR code or live chat) to share its AID with the LAR.

      iv. The LAR MUST send a Challenge Message to the OOR Person’s or ECR Person’s AID as defined in the Technical Requirements Part 1 for the purposes of cryptographic authentication of the OOR Person’s or ECR Person’s AID. The Challenge Message MUST be unique to the OOBI session.

      v. The OOR Person or ECR Person MUST use its Private Key Store to sign and return the response to the Challenge Message, after which the LAR verifies the signature and completes the verification.

2. Identity Authentication

   a. A LAR and the OOR Person or ECR Person MUST establish a real-time OOBI session in which the LAR and the OOR Person or ECR Person are present. An example is a continuous web meeting attended by all parties on both audio and video.

   b. The following steps MUST be performed in this order and completed during this OOBI session.

      i. The LAR MUST perform manual verification of the OOR Person’s or ECR Person’s legal identity for which the LAR has already performed Identity Assurance. An example, the OOR Person or ECR Person visually presenting one or more legal identity credentials and the LAR compares the credentials verified during Identity Assurance to the OOR Person or ECR Person.

      ii. The LAR MUST use an OOBI protocol (such as a QR code or live chat) to share its Autonomic Identifier (AID) with the OOR Person or ECR Person.

      iii. The OOR Person or ECR Person MUST use an OOBI protocol (such as a QR code or live chat) to share its AID with the LAR.

   c. The LAR MUST send a Challenge Message to the OOR Person’s or ECR Person’s AID as defined in the Technical Requirements Part 1 for the purposes of cryptographic authentication of the OOR Person’s or ECR Person’s AID. The Challenge Message MUST be unique to the OOBI session.

   d. The LAR MUST verify in real time that the response to the Challenge Message was received from the OOR Person or ECR Person.

   e. When the response to the Challenge Message has been received by the LAR, the LAR MUST verify the OOR Person’s or ECR Person’s signature.

6.4 Issuance

1. The LAR MUST issue QVI AUTH vLEI Credential explicitly authorizing the QARs of a QVI to issue each vLEI Role Credential. The QVI AUTH vLEI Credential will become part of the chain of the vLEI Role Credentials.
6.5 Revocation
1. To revoke a previously issued vLEI Role Credential, the LAR(s) MUST revoke the QVI AUTH vLEI Credential related to a specific issuance of a vLEI Role Credential. X; requirement in Credential Governance Framework
X; assessment and demonstration of Qualified vLEI Issuer compliance X; covered as part of the Credential revocation process with KERI
2. The QAR then MUST revoke the vLEI Role Credential. X; requirement in Credential Governance Framework
3. GLEIF MUST monitor the QVI Transaction Event Logs (TELs) to detect revocations of QVI AUTH vLEI Credentials by LARs. This will advise GLEIF in the case of a terminated QVI or QVI leaving the vLEI Ecosystem to follow up on revocation of any OOR vLEI Credentials. X; requirement in Credential Governance Framework X; assessment and demonstration of GLEIF compliance

6.7 Monitoring
1. GLEIF MUST monitor the QVI Transaction Event Logs (TELs) to detect revocations of QVI AUTH vLEI Credentials by LARs. This will advise GLEIF in the case of a terminated QVI or QVI leaving the vLEI Ecosystem to follow up on revocation of any OOR vLEI Credentials. X; requirement in Credential Governance Framework

10 Credential Definition
10.1 Schema
QVI OOR AUTH vLEI Credential
1. The QVI OOR AUTH vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in: https://github.com/WebOfTrust/vLEI/blob/dev/schema/acdc/ecr-authorization-vlei-credential.json X; requirement in Credential Governance Framework
X; Credential format in KERI code
2. The field values in the credential MUST be as follows:
   - The "AID" field value MUST be the AID of OOR Person. X; requirement in Credential Governance Framework
   - The "LEI" field value MUST be the LEI of Legal Entity Holder. X; requirement in Credential Governance Framework
   - The "personLegalName" field value MUST be the Legal Name of the Person in the Official Organizational Role at the Legal Entity. X; requirement in Credential Governance Framework
   - The "officialRole" field value MUST be the Official Role to be assigned in the vLEI OOR Credential. X; requirement in Credential Governance Framework
   - The "Sources section MUST contain a source reference to the Legal Entity vLEI Credential (via SAID) held by the Legal Entity issuer of this credential. The Issuer of the referenced Legal Entity vLEI Credential MUST be the target holder of this QVI OOR AUTH vLEI Credential. X; requirement in Credential Governance Framework
X; Credential format in KERI code

QVI ECR AUTH vLEI Credential
1. The QVI ECR AUTH vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in: https://github.com/WebOfTrust/vLEI/blob/dev/schema/acdc/ecr-authorization-vlei-credential.json X; requirement in Credential Governance Framework
X; Credential format in KERI code
2. The field values in the credential MUST be as follows:
   - The "AID" field value MUST be the AID of ECR Person. X; requirement in Credential Governance Framework
   - The "LEI" field value MUST be the LEI of Legal Entity Holder. X; requirement in Credential Governance Framework
   - The "personLegalName" field value MUST be the Legal Name of the Person in the Engagement Context Role at the Legal Entity. X; requirement in Credential Governance Framework
   - The "engagementContextRole" field value MUST be the Engagement Context Role to be assigned in the vLEI ECR Credential. X; requirement in Credential Governance Framework
   - The "Sources section MUST contain a source reference to the Legal Entity vLEI Credential (via SAID) held by the Legal Entity issuer of this credential. The Issuer of the referenced Legal Entity vLEI Credential MUST be the target holder of this QVI ECR AUTH vLEI Credential. X; requirement in Credential Governance Framework
X; Credential format in KERI code
### Section 6 - Identity Assurance

#### Sub-section 6.1 - Qualifications

The Issuer **MUST** be a Qualified vLEI issuer (QVI) that has been contracted by a Legal Entity holding a valid Legal Entity vLEI Credential (OOR vLEI Credential).

#### Sub-section 6.2 - Credential

The Issuer **MUST** use the OOR vLEI Credential schema defined in section 8.1. Additional schema elements may be added depending on the requirements of a use case.

#### Sub-section 6.3 - Legal Entity registration

A QAR **MUST** verify the Legal Entity Identifier (LEI) of the Legal Entity has a LEI Entity Status of Active and a LEI Registration Status of Issued, Pending Transfer or Pending Archival in the Global LEI System.

#### Sub-section 6.4 - OOR Person registration

If Identity Assurance is performed by a QAR, the Identity Assurance **MUST** be in the same Supervised Remote In-person session or through the use of Third-Party Services, or by a LAR.

A QAR **MUST** verify the LEI supplied for the Credential is the LEI of the Legal Entity for which the issuance request for the Credential has been made.

An OOR **MUST** verify the Legal Entity Identifier of the Legal Entity has a LEI Entity Status of Active and a LEI Registration Status of Issued, Pending Transfer or Pending Archival in the Global LEI System.

### Rationale

1. **Identity Assurance and Identity Authentication** can be performed by a separate Supervised Remote In-person session.

2. **Identity Assurance of a person serving in an Official Organizational Role (OOR Person) MAY be performed either by a QAR, directly or through the use of Third-Party Services, or by a LAR**.

3. **When the Identity Assurance is performed by a QAR, the Identity Assurance MUST be in the same Supervised Remote In-person session or the Identity Authentication by the QAR**.

4. **In QAR MUST perform identity assurance of an OOR Person at least Identity Assurance level 2 (IAL2) as defined in NIST 800-63-3 by checking the OAI and verifying the OOR Person's identity using the OOR vLEI Credential schema**.

5. **If Identity Assurance and Identity Authentication to generate the OAI of the OOR Person is performed by the LAR, then Identity Assurance and Identity Authentication can be performed by a separate Supervised Remote In-person session**.

6. **A QAR MUST validate the name and the Official Organizational Role of the OOR Person using one or more official public sources**.

7. **A QVI Authorized Representative (QAR) MUST verify that the LEI supplied for the Credential is the LEI of the Legal Entity for which the QAR has been provided for the Credential**.

**Note:** The Issuer can use one or more official public sources to validate the name and the Official Organizational Role of the OOR Person, such as government websites, company websites, or industry databases.

### Requirement

- **Requirement satisfied by vLEI Governance Framework (vLEI)**
- **Requirement to be satisfied by ISO 20000 Certification**
- **Requirement satisfied by Qualified vLEI Issuer Compliance**
- **Requirement satisfied by KERI operations**

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The QAR MUST send a Challenge Message to the OOR Person's AID as defined in the Technical Requirements Part 1 for the purpose of cryptographic authentication of the OOR Person's AID. The Challenge Message MUST be unique to the OOR session.

The OOR Person MUST review the Challenge Message, either after which the OOR Person MUST acknowledge that this action has been completed.

The QAR MUST verify in real time that the response to the Challenge Message was received from the OOR Person.

When the response to the Challenge Message has been received by the QAR, the QAR MUST verify the OOR Person's signature.

The Legal Entity and OOR Issuer identity verification process outlined in sections 6.4 and 6.5 MUST be completed before OOR vLEI Credential issuance can begin.

The OOR vLEI Credential MUST issue a QVI AUTH vLEI Credential and present this vLEI credential to the QVI to request issuance of a OOR vLEI Credential.

The workflow MUST be implemented in the operations of the QVI which requires two QARs to be involved in the issuance and signing of an OOR vLEI Credential. The first QAR will perform the required above-mentioned identity authentication and out-of-band validations and then signs the credential. Another QAR then approves the issuance and signs the OOR vLEI Credential.

The QAR MUST call the vLEI Reporting API with each issuance event of OOR vLEI Credentials.

QVI MUST update the list of vLEI Credentials on the LEI page of the Legal Entity to reflect vLEI credential issuances that have been reported by QVIs.

A QAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA).

To revoke a previously issued OOR vLEI Credential, the LAR(s) MUST revoke the QVI AUTH OOR vLEI Credential related to a specific issuance of an OOR vLEI Credential.

The QAR then MUST revoke the OOR vLEI Credential.

In QAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA).

A QAR MUST call the OOR Reporting API with each revocation event of Legal Entity Official Organizational Role vLEI Credentials.

If the QVI has been terminated:

- If the end of the Grace Period for the Qualified vLEI Issuer AID Credential that has been revoked by QVII, the QVII MUST revoke all of the OOR vLEI Credentials that the OVI has issued.

- Once the terminated QVI MUST transfer a copy of its revocation log to GLEIF.

QVI MUST update the list of OOR vLEI Credentials on the LEI page of the Legal Entity to reflect OOR vLEI revocation events that have been reported by QVIs.

QVI MUST ensure the QVI Transaction Event Logs (TELs) to detect the issuance or revocation of OOR vLEI Credentials which were not reported using the vLEI Reporting API.

The OOR vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in: https://github.com/W3C/LEI/blob/master/schema/iiiiqld/official-organizational-role-vlei-credential.json

The field values in the credential MUST be as follows:

- The "vLEI" field value MUST be the LEI of Legal Entity Holder.

- The "personLegalName" field value MUST be the Legal Name of the Person in the Official Role at the Legal Entity.

- The "officialRole" field value MUST be the Official Organizational Role itself.

The QVII MUST send a Challenge Message to the OOR Person's AID as defined in the Technical Requirements Part 1 for the purpose of cryptographic authentication of the OOR Person's AID. The Challenge Message MUST be unique to the OOR session.

The OOR Person MUST review the Challenge Message, either after which the OOR Person MUST acknowledge that this action has been completed.

The QAR MUST verify in real time that the response to the Challenge Message was received from the OOR Person.

When the response to the Challenge Message has been received by the QAR, the QAR MUST verify the OOR Person's signature.

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The workflow MUST be implemented in the operations of the QVI which requires two QARs to be involved in the issuance and signing of an OOR vLEI Credential. The first QAR will perform the required above-mentioned identity authentication and out-of-band validations and then signs the credential. Another QAR then approves the issuance and signs the OOR vLEI Credential.

The QAR MUST call the vLEI Reporting API with each issuance event of OOR vLEI Credentials.

QVI MUST update the list of vLEI Credentials on the LEI page of the Legal Entity to reflect vLEI credential issuances that have been reported by QVIs.

A QAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA).

To revoke a previously issued OOR vLEI Credential, the LAR(s) MUST revoke the QVI AUTH OOR vLEI Credential related to a specific issuance of an OOR vLEI Credential.

The QAR then MUST revoke the OOR vLEI Credential.

In QAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA).

A QAR MUST call the OOR Reporting API with each revocation event of Legal Entity Official Organizational Role vLEI Credentials.

If the QVI has been terminated:

- If the end of the Grace Period for the Qualified vLEI Issuer AID Credential that has been revoked by QVII, the QVII MUST revoke all of the OOR vLEI Credentials that the OVI has issued.

- Once the terminated QVI MUST transfer a copy of its revocation log to GLEIF.

QVI MUST update the list of OOR vLEI Credentials on the LEI page of the Legal Entity to reflect OOR vLEI revocation events that have been reported by QVIs.

QVI MUST ensure the QVI Transaction Event Logs (TELs) to detect the issuance or revocation of OOR vLEI Credentials which were not reported using the vLEI Reporting API.

The OOR vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in: https://github.com/W3C/LEI/blob/master/schema/iiiiqld/official-organizational-role-vlei-credential.json

The field values in the credential MUST be as follows:

- The "vLEI" field value MUST be the LEI of Legal Entity Holder.

- The "personLegalName" field value MUST be the Legal Name of the Person in the Official Role at the Legal Entity.

- The "officialRole" field value MUST be the Official Organizational Role itself.

The QAR MUST call the OOR Reporting API with each revocation event of Legal Entity Official Organizational Role vLEI Credentials.

If the QVI has been terminated:

- If the end of the Grace Period for the Qualified vLEI Issuer AID Credential that has been revoked by QVII, the QVII MUST revoke all of the OOR vLEI Credentials that the OVI has issued.

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QVI MUST update the list of OOR vLEI Credentials on the LEI page of the Legal Entity to reflect OOR vLEI revocation events that have been reported by QVIs.

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The field values in the credential MUST be as follows:

- The "vLEI" field value MUST be the LEI of Legal Entity Holder.

- The "personLegalName" field value MUST be the Legal Name of the Person in the Official Role at the Legal Entity.

- The "officialRole" field value MUST be the Official Organizational Role itself.
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<td>6.3 QVI Identity Verification</td>
<td>1. Identity Assurance</td>
<td>An External GLEIF Authorized Representative (External GAR) MUST perform identity assurance of a person serving in the role of QVI Authorized Representative (QAR) to at least Identity Assurance Level 2 (IAL2) as defined in NIST 800-63A [<a href="https://pages.nist.gov/800-63-3/2020-63a.html">https://pages.nist.gov/800-63-3/2020-63a.html</a>].</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
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<td></td>
<td>a.</td>
<td>A minimum of two QARs MUST form the QVI multi-sig group.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
<td></td>
<td>X; covered as part of the Credential issuance process with KERI</td>
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<td></td>
<td>b.</td>
<td>An External GAR MUST lead for the anchoring action for the QVI External Delegated AID described below.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
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<td>2. Identity Authentication</td>
<td>A credential wallet MUST be set up for the QVI.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
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<td></td>
<td>a.</td>
<td>The QVI MUST designate a QAR to act on its behalf.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
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<td>b.</td>
<td>An External GAR and the QAR MUST establish a real-time OOBI session in which the External GAR and the QAR are present. An example is a continuous web meeting attended by all parties on both audio and video.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
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<td></td>
<td>a.</td>
<td>The External GAR MUST perform manual verification of the QAR’s legal identity for which the External GAR has already performed identity assurance. An example is the QAR visually presenting one or more legal identity credentials and the External GAR compares the credentials verified during identity assurance to the QAR Person.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
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<td>i.</td>
<td>The External GAR MUST use an OOBI protocol (such as a QR code or live chat) to share the GLEIF External Delegated AID (GEDA) with the QAR.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
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<td></td>
<td>ii.</td>
<td>An QAR MUST use an OOBI protocol (such as a QR code or live chat) to share the QVI AID with the External GAR.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for Qualified vLEI Issuer</td>
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<td></td>
<td>iii.</td>
<td>The External GAR MUST send a Challenge Message from the GEDA to the QVI AID as defined in the Technical Requirements Part 4 KERI Infrastructure for the purposes of cryptographic authentication of the QVI AID. The Challenge Message MUST be unique to the OOBI session.</td>
<td>X; requirement in Credential Governance Framework</td>
<td>X; assessment and demonstration of compliance for GLEIF</td>
<td>X; covered as part of the Credential issuance process with KERI</td>
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</tbody>
</table>
The QAR Lead initiates a set of QARs to create a multi-sig group and the QARs mutually are authenticated. Each QAR MUST verify that the Delegated AID Inception Event is fully witnessed by every Witness. Each QAR MUST sign and publish to the Delegated AID Witnesses their signature on the Delegated AID Inception Event. When the response to the Challenge Message has been received, the External GAR must verify the signature of the QAR. One of the QARs must be designated as the Delegated AID QVI Authorized Representative (QAR Lead).

6.4 Creation of the QVI Delegated AIDs

1. The creation of the QVI Delegated AIDs follows the successful completion of Identity Verification by the External GAR Lead of each QAR.

2. The following steps MUST be performed in the order listed and completed during an OOBI session for a given QVI Delegated AID.

a. Each Delegated AID QVI Authorized Representative (QAR) that is a participating member in the group of AIDs MUST generate its own individual single signature AID that will be used to create the QVI Delegated AID.

b. Each Delegated AID QVI Authorized Representative (QAR) shall verify in real time that a response to the Challenge Message was received from every other QAR. Each QAR must verify the signature of every other QAR.

c. Each QAR MUST send a Challenge Message to every other QAR as defined in the Technical Requirements Part 1 KERI Infrastructure for the purposes of cryptographic authentication of their individual single signature AID. The Challenge Message MUST be unique to the OOBI session.

d. Each QAR must verify in real time that a response to the Challenge Message was received from every other QAR.

e. Each QAR must verify the signature of every other QAR.

f. The QAR Lead MUST either configure or select the AIDs and Service Endpoints for the QVI Delegated AID Witness Pool.

6.5 Delegation of the QVI Delegated AIDs

1. Unless otherwise pre-approved by the GLEIF Root GARs, GLEIF External AID MUST use an Interaction Event to approve the delegation of the QVI Delegated AIDs.

2. The following steps MUST be performed in the order listed and completed during this OOBI session for the GLEIF External Delegated AIDs.

a. The QAR Lead initiates a set of QARs to create a multi-sig group and the QARs mutually are authenticated.
b. The QAR Lead initiates the creation of the Inception Event using the published GLEIF External AID as the Delegator.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

c. The External GAR Lead verifies that the set of QARs in the multi-sig group in this Inception Event to delegate the QVI External AID match those that the External GAR Lead verified according to section 6.3 above.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

d. The External GAR Lead submits request to the External GAR multi-sig group to anchor the Interaction event. All members of the External GAR multi-sig group trust External GAR Lead to perform Identity Assurance on the QARs.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

e. The External GAR Lead then submits a request to issue the Qualified vLEI Issuer vLEI Credential to QVI vLEI to the External GAR multi-sig group as an Interaction Event.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

6.6 QVI vLEI Credential Issuance

1. The External GAR MUST approve issuance of a QVI vLEI Credential after the completion of QVI Identity Verification in section 6.3 above.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

6.7 QVI vLEI Credential Revocation

5. Voluntary Revocation

a. An External GAR MUST revoke a Legal Entity vLEI Credential upon receipt of a Fully Signed revocation request by the QAR(s) of the Legal Entity, e.g., if the Legal Entity chooses to no longer be the Holder of this Credential using the vLEI software.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF
X; covered as part of the Credential revocation process with KERI

b. An External GAR MUST perform the revocation within the timeframe specified in Appendix 5, Service Level Agreement (SLA).

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

2. Involuntary Revocation

a. Involuntary revocation of vLEI Credentials MUST follow the process specified in Appendix 5, Service Level Agreement (SLA).

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for GLEIF

7 QVI Self-issuance of vLEIs

2. GLEIF MUST oversee the assignment of these vLEI Credentials issued by QVIs to themselves.

X; requirement in Credential Governance Framework
X; assessment and demonstration of compliance for Qualified vLEI Issuer and GLEIF

9 Verifier Policies

2. When part of a chain, each chained vLEI MUST include a reference to one or more preceding vLEIs in its provenance chain.

X; requirement in Credential Governance Framework

3. If any preceding vLEIs in the provenance chain or a given vLEI is revoked, then that given vLEI MUST not verify.

X; requirement in Credential Governance Framework

4. The schema for each type of vLEI defines what type or types of vLEIs MUST or MAY be referenced in its provenance section.

X; requirement in Credential Governance Framework

10 Credential Definition

10.1 Schema

1. The OOR vLEI Credential MUST be an Authentic Chained Data Container (ACDC) that MUST use for its schema at the time of issuance, the JSON Schema found in: https://github.com/WebOfTrust/vLEI/blob/dev/schema/acdc/qualified-vLEI-issuer-vLEI-credential.json

X; requirement in Credential Governance Framework

2. The field values in the credential MUST be as follows:

X; requirement in Credential Governance Framework
X; requirement in Credential Governance Framework

The "LEI" field value MUST be the LEI of the QVI.

The "gracePeriod" field value MUST be at least 90 (ninety) Days.